

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Robert E. Kahn et al. Art Unit :
Serial No. : Examiner :
Filed : January 24, 2003
Title : IDENTIFYING, MANAGING, ACCESSING, AND TRACKING DIGITAL
OBJECTS AND ASSOCIATED RIGHTS AND PAYMENTS

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Copies of the references listed on the attached form PTO-1449 are enclosed.

Under 35 USC §120, this application relies on the earlier filing date of application serial number 08/645,491, filed on May 13, 1996. The following references were submitted to and/or cited by the Office in the prior application and, therefore, are not provided in this application:

Desig. IDs:

Baratz et al., U.S. Patent No. 4,914,571.

Dao et al., U.S. Patent No. 5,596,744.

Reed et al., U.S. Patent No. 5,241,671.

Hecht et al., U.S. Patent No. 5,032,979.

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Waite et al., U.S. Patent No. 5,222,134.

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Lyons, "Computer Programs: A Fair Use Analysis", Twenty-First Annual
Telecommunications Policy Research Conference, Solomons, Maryland, October 2-4, 1993.

CERTIFICATE OF MAILING BY EXPRESS MAIL

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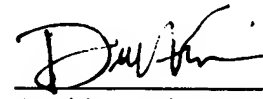
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Page : 2

Attorney's Docket No.: 06154-011002

Please apply any other charges or credits to Deposit Account No. 06-1050, reference 06154-011003.

Respectfully submitted,

Date: 1/24/03



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Notice of References CitedApplication/Control No.
10/351,571Applicant(s)/Patent Under Reexam
Robert E. Kahn et al.Examiner
Pierre E. EliscaArt Unit
3621

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	Document Number Country Code-Number-Kind Code	Date MM-YYYY ¹	Name	Classification ²	
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NON-PATENT DOCUMENTS

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^{*} A copy of this reference is not being furnished with this Office action. See MPEP § 707.05(a).¹ Dates in MM-YYYY format are publication dates.² Classifications may be U.S. or foreign.

Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06154-011003	Application No.
		Applicant Kahn et al.	
		Filing Date January 24, 2003	Group Art Unit

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	CA	Mockapetris, "Domain Names—Concepts and Facilities", pp. 1-28, http://www.ietf.org/rfc/rfc0883.text , November 1983.
	CB	Wilensky, "Locating Electronic Library Services and Objects" (DRAFT), October 31, 1993.
	CC	Interfacing To The Handle Management System, August 1994.
	CD	Grass et al., "A Syntax for Distributed Object Handles", August 3, 1994.

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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	BA	EPR Electronic Commerce Technologies, Press Release, "National Semiconductor and EPR Partner for Information Metering/Data Security Cards", 3/4/1994.
	BB	EPR Electronic Commerce Technologies, Press Release, "Announcing NetTrust™", 1995.
	BC	Kahn et al., The Digital Library Project—Volume 1: The World of Knowbots (DRAFT), "An Open Architecture For A Digital Library System And A Plan For Its Development", 49 pp., March 1998.
	BD	Kahn et al., The Digital Library Project—Volume 1: The World of Knowbots, "An Open Architecture For A Digital Library System And A Plan For Its Development", 75, pp, December 1997.
	BE	Dunstan et al., "Access to Digital Objects: A Communications Law Perspective", Annual Survey of American Law, NY University School of Law, 1994 Volume, Issue 3.
	BF	Lagoze et al., "Implementation Issues In An Open Architectural Framework for Digital Object Services", Revision 1.3, June 6, 1995
	BG	Kahn, Robert, "Deposit, Registration and Recordation In An Electronic Copyright Management System", CNRI, Reston VA, August, 1992.
	BH	Garrett et al., Copyright Clearance Center, "Text To Screen: Copyright Issues In The Electronic Age", pp. 1-12, 1989.
	BI	Garrett et al., Copyright Clearance Center, "Toward A Copyright Management System for Digital Libraries", pp. 1-74, 1991.
	BJ	Kahn, "National Information Infrastructure Components", Serials Review, Vol. 18, No. 1-2, 1992, pp. 85-87
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	BN	Kahn, Robert, "Deposit, Registration and Recordation In An Electronic Copyright Management System", IMA Intellectual Property Project Proceedings, Vol. 1, Issue 1, pp. 111-120, Jan. 1994.
	BO	Cerf et al., "Issues In Packet Network Intercommunication" Proceedings of the IEEE, Vol. 66, No. 11, pp. 1386-1408, November 1978.
	BP	CNRI Brochure, Workshop On The Protection of Intellectual Property Rights In A Digital Library System, "Knowbots In The Real World", May 18-19, 1989.
	BQ	Kahn et al., "Locating Electronics Library Services and Objects: A Frame of Reference for the CS-TR Project", Feb. 9, 1994.
	BR	Lyons, Patrice, "Knowledge-Based Systems and Copyright", Serials Review, pp. 88-91, 1992.
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	BT	Kahn, Robert, "Resource-Sharing Computer Communications Networks", Proceedings of the IEEE, Vol. 60, No. 11, p. 1397-1407, November 1972.
	BU	Postel, "NCP/TCP Transition Plan, USC/Information Sciences Institute", November 1981.
	BV	Mockapetris, "Domain Names—Implementation and Specification", pp. 1-67, http://www.ietf.org/rfc/rfc0883.text , November 1983.

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	AB	5,496,071	03/05/96	Walsh	283	70	
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Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AO							
	AP							
	AQ							
	AR							
	AS							

Other Documents (include Author, Title, Date, and Place of Publication)		
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	AT	EPR Electronic Commerce Technologies, Press Release, EPR Announces InterTrust™ and DigiBox™, "Breakthrough Digital Rights Protection, Information Metering Architecture, With A Universal Digital Content And Commerce Container", April 10, 1995.
	AU	Amdur Dan, Article re Digital Libraries, Today, 1/16/1996.

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